



Attorney Docket No.: 5489P001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Seok-Hyun Yun, et al.

Application No.: 10/072,511

Filed: February 5, 2002

For: ACOUSTO-OPTIC TUNABLE FILTER
HAVING IMPROVED WAVE-DAMPING
CAPABILITY

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Examiner: Susecki, Krystyna

Art Unit: 2882

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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 A statement pursuant to 37 C.F.R. §1.97(e) or

 A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

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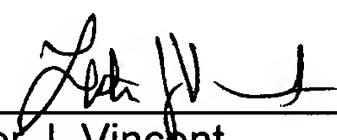
(2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: Jun 23, 2004



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Substitute for Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

<i>Complete if Known</i>	
Application Number	10/072,511
Filing Date	February 5, 2002
First Named Inventor:	Seok-Hyun Yun
Art Unit	2882
Examiner Name	Sucheksi, Krystyna

Sheet	1	of	2	Attorney Docket Number	5489P001
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
<i>JUN 23 2004 U.S. PATENT & TRADEMARK OFFICE</i>	US-	4,068,191		1/10/1978	Zemon et al.	
	US-	4,781,425		11/1/1988	Risk et al.	
	US-	4,828,350		5/9/1989	Kim et al.	
	US-	4,832,437		5/23/1989	Kim et al.	
	US-	4,915,468		4/10/1990	Kim et al.	
	US-	4,971,417		11/20/1990	Krinsky et al.	
	US-	5,007,705		4/16/1991	Morey et al.	
	US-	5,708,736		1/13/1998	Steinblatt	
	US-	6,021,237		2/1/2000	Kim et al.	
	US-	6,151,157		11/21/2000	Ball et al.	
	US-	6,151,427		11/21/2000	Satorius	
	US-	6,233,379 B1		05/15/2001	Kim et al.	
	US-	6,253,002 B1		6/26/2001	Kim et al.	
	US-	6,343,165 B1		1/29/2002	Kim et al.	
	US-	6,510,261 B2		1/21/2003	Sorin et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
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Substitute for Form 1449/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	10/072,511
		Filing Date	February 5, 2002
		First Named Inventor:	Seok-Hyun Yun
		Art Unit	2882
		Examiner Name	Suchecki, Krystyna
Sheet	2	of	2
		Attorney Docket Number	5489P001

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

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Summary of USPTO Kind Codes Used on Documents Published Beginning January 2, 2001

WIPO ST.16 Kind Codes	Kind of document	Comments
A1	Patent Application Publication	Pre-grant publication available March 2001
A2	Patent Application Publication (Republication)	Pre-grant publication available March 2001
A9	Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
B1	Patent	No previously published pre-grant publication
B2	Patent	Having a previously published pre-grant publication and available March 2001
C1, C2, C3...	Reexamination Certificate	Previously used codes B1 and B2 are now used for granted Patents
E	Reissue Patent	No change
H	Statutory Invention Registration (SIR)	No change
P1	Plant Patent Application Publication	Pre-grant publication available March 2001
P2	Plant Patent	No previously published pre-grant publication
P3	Plant Patent	Having a previously published pre-grant publication and available March 2001
P4	Plant Patent Application Publication (Republication)	Pre-grant publication available after March 2001
P9	Plant Patent Application Publication (Corrected Publication)	Pre-grant publication available March 2001
S	Design Patent	No change